IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ng htor......Parupudi et al. Title: Methods and Systems for Context-Aware Policy Determination and Enforcement 5 REQUEST FOR CORRECTION OF FILING RECEIPT 6 7 To: Application Processing Division – Customer Correction Branch RECEIVED Commissioner of Patents and Trademarks Washington, D.C. 20231 JUL 0 6 2001 9 Technology Center 2100 Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979) From: 10 Lee & Hayes, PLLC 421 W. Riverside Avenue, Suite 500 11 Spokane, WA 99201 12 There is a typographical error in the title on the Filing Receipt. The correct 13 title is Methods and Systems for Context-Aware Policy Determination and 14 **Enforcement.** The following items are submitted to verify the correct title: copy 15 of cover page of application and copy of Declaration. 16 A copy of the filing receipt with the correction noted is also enclosed. 17 Applicant respectfully requests correction and issuance of a corrected filing 18 receipt. 19 Respectfully submitted, 20 21 22 ance R. Sadler Reg. No. 38,605 23

(509) 324-9256

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT



JUL 0 6 2001
Technology Center 2100

Methods and Systems for Context-Aware Policy Determination and Enforcement

Inventor(s):
Gopal Parupudi
Stephen S. Evans
Edward F. Reus



United States Patent and Trademark Office

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GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** APPLICATION NUMBER FILING DATE TOT CLAIMS IND CLAIMS 09/746.936 12/22/2000 2152 3846 MS1-697US 12 16

LEE & HAYES, PLLC 421 W. Riverside Avenue, Suite 500 Spokane, WA 99201



CONFIRMATION NO. 2341 FILING RECEIPT *OC000000005753851*

Date Mailed: 02/12/2001

JUL 0 6 2001 Technology Center 2100

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gopal Parupudi, Issaquah, WA; Stephen S. Evans, Redmond, WA; Edward F. Reus, Woodinville, WA;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/09/2001

Projected Publication Date: 06/27/2002

Non-Publication Request: No

Early Publication Request: No

Title

context

Methods and systems for contex-aware policy determination and enforcement

Preliminary Class

709

Data entry by : BETERMARIAM, TIRUAYENET Team : OIPE

Date: 02/12/2001



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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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JUL 0 6 2001

Technology Center 2100

FILE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

70		
TO TRADEM	Application Serial No	
	Filing Date	
3	Inventorship	
	Group Art Unit	
4	Examiner	
_	Applicant	
5	Attorney's Docket No.	MS1-697US
6	Title: Methods and Systems for Context-Aware Policy Determination and Enforcement	
١	TRANSMITTAL LETTER AND CERTIFICATE OF MAILING	DECENTER
7	TREE SWITTING DETTER AND CERTIFICATE OF WAREHOU	RECEIVED
	To: The Commissioner of Patents & Trademarks	JUL 0 6 2001
8	Washington, D.C. 20231	
	F	Technology Center 2100
9	From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979) Lee & Hayes, PLLC	2,00
	421 W. Riverside Avenue, Suite 500	
10	Spokane, WA 99201	
.,		
11	The following enumerated items accompany this transmittal letter and are being su	bmitted for the
12	matter identified in the above caption.	<i>*</i> .
12	Transmittal letter including Certificate of Mailing	
13	2. Return Post Card	
	3. Request for Correction of Filing Receipt w/attachments	
14	·	
	Large Entity Status [x] Small Entity Status []	
15	Applicant hereby requests an extension of time in any case such an extension is nece	oggan. The fee
	should be charged to the Deposit Account indicated below.	essary. The jee
16		
	The Commissioner is hereby authorized to charge payment of fees or credit overpaym	ents to Deposit
17	Account No. 12-0769 in connection with any patent application filing fees under 37 CFR	1.16, and any
	processing fees, including any necessary extension fees under 37 CFR 1.17.	//
18	Date: 3/1/0/	_
10	Lânce R. Sadler	
19	Reg. No. 38,605	
20		
. 20	<u>CERTIFICATE OF MAILING</u>	
21	I hereby certify that the items listed above as enclosed are being deposited with	
	Service as first class mail in an envelope addressed to The Commissioner of Patents a Washington, D.C. 20231, on the below-indicated date	x Trademarks,
22	1 7	\mathscr{L}
	Date: 3/2/0/ By: Cheryl K. Boies	Dores
23	Cheryl K. Boies	





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WASHINGTON, D.C. 2023I
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CONFIRMATION NO. 2341

SERIAL NUMBER 09/746,936	FILING DATE 12/22/2000 RULE	(CLASS 709	GROUP ART UN 2152		T UNIT	ATTORNEY DOCKET NO.		
Stephen S. Eva	i, Issaquah, WA; ıns, Redmond, WA; ıs, Woodinville, WA;								
** CONTINUING D	ATA ***********	******	**						
** FOREIGN APPL	ICATIONS ********	*****	**						
IF REQUIRED, FOI GRANTED ** 02/09	REIGN FILING LICENS /2001	SE							
Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	yes no no Met aft Allowance taminer's Signature	itials	STATE OR COUNTRY WA	SHEETS DRAWING 12		TOTAL CLAIMS 97		INDEPENDENT CLAIMS 16	
ADDRESS LEE & HAYES, PLL 421 W. Riverside Ave Spokane, WA 99201								(
TITLE								···-	
Methods and systems	for context-aware policy of	determin	ation and enforce	cement					
				٠	□ _{Al}	All Fees			
				1.16 Fees (Filing)					
FILING FEE FEE RECEIVED No.	FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUN No for following:			ΙΤ	1.17 Fees (Processing Ext. of time)				
3846 No.					1.18 Fees (Issue)				
				Other					
						☐ Credit			